

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/805,368 | 03/13/2001 | Kevin J. Dowling | CKC-091.01 |

CONFIRMATION NO. 1552

25181
FOLEY, HOAG & ELIOT, LLP
PATENT GROUP
ONE POST OFFICE SQUARE
BOSTON, MA 02109

FORMALITIES LETTER



OC000000006081048

BEST AVAILABLE COPY

Date Mailed: 05/16/2001

Repln. Ref: 01/09/2002 SSALEEKU 0010472900
DAI: 232825 Name/Number: 09805368
FC: 704 \$114.00 CR

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/09/2002 SSALEEKU 00000012 09805368

FILED UNDER 37 CFR 1.53(b)

11 FC:203 693.00 OP
12 FC:202 378.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1300.
 - \$765 for 85 total claims over 20.
 - \$400 for 10 independent claims over 3.
 - \$135 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1720.

The application is informal since it does not comply with the regulations for the reason(s) indicated below:

Adjustment date: 01/09/2002 SSALEEKU
01/09/2002 KZENDE 00000009 09805368
04 FC:203 -765.00 OP

- Substitute drawings in compliance with 37 CFR 1.84 because:

Adjustment date: 01/09/2002 SSALEEKU
01/09/2002 KZENDE 00000009 09805368
03 FC:202
The following sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch); a left side margin of at least 2.5 cm. (1 inch); a right side margin of at least 1.5 cm. (5/8 inch), and a

01/03/2002 KZENDE 00000009 09805368

370.00 OP
65.00 OP
420.00 OP
140.00 OP

01 FC:201
02 FC:205
03 FC:202
04 FC:203
05 FC:204

bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.

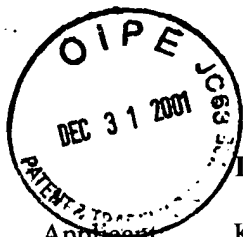
MTB

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY




ATTORNEY'S DOCKET NO: C01104/70015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell,
Alfred Ducharme, Ralph Osterhout, Colin Piepgras, George G. Mueller, and Dawn Geary
Serial No: 09/805,368
Filed: March 13, 2001
For: LIGHT-EMITTING DIODE BASED PRODUCTS
Examiner: Unassigned
Art Unit: Unassigned

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to the Commissioner for Patents, Washington, D.C. 20231, on the 16th day of November, 2001.


Janine Michalski

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

LETTER TO THE OFFICIAL DRAFTSPERSON

Sir:

We enclose twenty-four (24) sheets of formal drawings (Figs. 1-31) for the above-identified patent application.

Please charge any fees or credit any overpayment to Deposit Account 23/2825.

Respectfully submitted,

Kevin J. Dowling, et al., Applicants

By: 

Richard F. Giunta, Reg. No. 36,149
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210
Tel. (617) 720-3500
Attorneys for Applicants

Docket No. C01104/70015
Dated: November 16, 2001



ATTORNEY DOCKET NO: C01104/70015 *Section 4*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kevin J. Dowling, Frederick M. Morgan, Ihor A. Lys, Michael K. Blackwell,
Alfred Ducharme, Ralph Osterhout, Colin Piegras, George G. Mueller, and Dawn Geary

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Unassigned

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Janine Michalski
Janine Michalski

BOX: MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing are the following documents:

- ☒ RESPONSE TO THE NOTICE TO FILE MISSING PARTS
- ☒ COPY OF NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
- ☒ TWO DECLARATIONS FOR PATENT APPLICATION
- ☒ PETITION FOR FOUR MONTH EXTENSION OF TIME
- ☒ LETTER TO THE OFFICIAL DRAFTSPERSON
- ☒ TWENTY-FOUR (24) SHEETS OF FORMAL DRAWINGS (FIGS. 1-31)
- ☒ RETURN POSTCARD

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned collect at (617)720-3500, Boston, Massachusetts.

A check in the amount of \$2,480.00 is enclosed to cover the basic filing fee, the surcharge for the filing of the late declaration, the fee for additional and multiple claims, and a Four Month Extension. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

Kevin J. Dowling, et al., Applicants

By:

Richard F. Giunta
Richard F. Giunta, Reg. No. 36,149
Joseph Teja, Jr., Reg. No. 45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
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Attorneys for Applicants

Docket No. C01104/70015
Dated: November 16, 2001
X (11/16/01)